

FORM PTO-1390 (REV. 01-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 15675P548	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/507057</b>	
INTERNATIONAL APPLICATION NO. FR 2003/000736		INTERNATIONAL FILING DATE 7 March 2003		PRIORITY DATE CLAIMED 3/8/02	
TITLE OF INVENTION Medical Usage Connector Assembly for the Transfer of Fluids					
APPLICANT(S) FOR DO/EO/US Pierre Roy, et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items(5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <b>Items 11 to 20 below concern document(s) or information included:</b> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4)). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)). 20. <input checked="" type="checkbox"/> Other items or information.					
<u>Formal drawings transmittal; Request for Priority; Int'l Search Report; certification of true and correct translation; PCT Request; Form PCT/RO/125; Form PCT/EB/301; Form PCT/IB/304</u>					

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/507057</div>	INTERNATIONAL APPLICATION NO. FRn 2003/000736	ATTORNEY'S DOCKET NUMBER 15675P548
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5):</b> Neither international preliminary amendment examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1080.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>	<b>CALCULATIONS PTO USE ONLY</b>																				
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/>	<div style="border: 1px solid black; padding: 2px;">\$ 920.00</div>																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CLAIMS</th> <th style="text-align: left;">NUMBER FILED</th> <th style="text-align: left;">NUMBER EXTRA</th> <th style="text-align: left;">RATE</th> </tr> <tr> <td>Total claims</td> <td>13 - 20 =</td> <td>0</td> <td>x 18</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>x 86</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ 290</td> </tr> <tr> <td colspan="3"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td></td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	13 - 20 =	0	x 18	Independent claims	2 - 3 =	0	x 86	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 290	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<div style="border: 1px solid black; padding: 2px;">\$ 0.00</div> <div style="border: 1px solid black; padding: 2px;">\$ 0.00</div> <div style="border: 1px solid black; padding: 2px;">\$ 290.00</div> <div style="border: 1px solid black; padding: 2px;">\$ 1210.00</div>
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 290																		
<b>TOTAL OF ABOVE CALCULATIONS =</b>																					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	<div style="border: 1px solid black; padding: 2px;">\$ 1210.00</div>																				
<div style="text-align: right;"><b>SUBTOTAL =</b></div>	<div style="border: 1px solid black; padding: 2px;">\$ 605.00</div>																				
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/>	<div style="border: 1px solid black; padding: 2px;">\$</div>																				
<div style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></div>	<div style="border: 1px solid black; padding: 2px;">\$ 605.00</div>																				
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property+	<div style="border: 1px solid black; padding: 2px;">\$</div>																				
<div style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></div>	<div style="border: 1px solid black; padding: 2px;">\$ 605.00</div>																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;"><b>Amount to be refunded:</b></td> <td style="width: 30%; text-align: center;">\$</td> </tr> <tr> <td><b>charged:</b></td> <td style="text-align: center;">\$</td> </tr> </table>		<b>Amount to be refunded:</b>	\$	<b>charged:</b>	\$																
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<b>charged:</b>	\$																				

a. ☒ A check in the amount of \$ 605.00 to cover the above fees is enclosed.

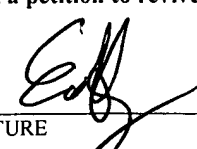
b. ☐ Please charge my Deposit Account No. 02-2666 in the amount of \$ 605.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.

DATE 9/7/04

SIGNATURE 

NAME Eric S. Hymen

REGISTRATION NUMBER 30,139

**SEND ALL CORRESPONDENCE TO:**  
 Blakely, Sokoloff, Taylor & Zafman LLP  
 12400 Wilshire Boulevard, 7th Floor  
 Los Angeles, CA 90025  
 Telephone: (310) 207-3800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

PIERRE ROY, ET AL.

Application No.:

Filed:

For: **Medical Usage Connector Assembly for the  
Transfer of Fluids**

Art Group:

Examiner:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 4 sheets including 14 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: 9/7/04

  
Eric S. Hyman, Reg. No. 30,139

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